

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Graham *et al.*

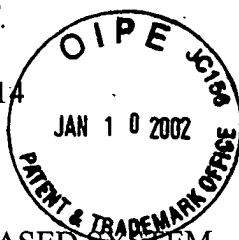
Application No.: 09/909,414

Filed: 7/19/2001

Title: RECOMBINASE-BASED SYSTEM  
FOR CONSTRUCTION OF  
ADENOVIRUS VECTORS

Attorney Docket No.: AdVec10CA

Group Art Unit:  
1636



*Sector 4*  
*Box Seq*

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- (X) Executed Declaration and Power of Attorney. A copy from the parent application, serial no: 09/263,650 is enclosed.
- (X) Statutory basic filing fee of \$740.00 (X)Utility ( ) Design
- (X) Missing Parts Surcharge of \$130.00.
- (X) Sequence Listing on disk.
- (X) Sequence Listing Statement.
- (X) Substitute drawings in compliance with 37 CFR 1.84.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: *11-27-2001*

Typed Name:

Signature: 

Respectfully submitted,

By 

Timothy H. Van Dyke, Reg. No. 43218

Date: 11/27/2001

Customer No: 29847

Van Dyke & Associates, P.A.  
1630 Hillcrest Street  
Orlando, FL 32803  
Phone: 407-228-0328; Fax: 407-228-0329



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,414	07/19/2001	Frank L. Graham	ADVEC10CA

Timothy H. Van Dyke  
Bencen & Van Dyke, P.A.  
1630 Hillcrest Street  
Orlando, FL 32803

CONFIRMATION NO. 7286

## FORMALITIES LETTER



\*OC00000006804358\*

Date Mailed: 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper

01/16/2002 VTRUONG1 00000123 09909414

01 FC:101

740.00 DP

02 FC:105

130.00 DP

or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).



For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be "A. B." or similar, written over a horizontal line.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE